

Phylogenomics (Phylogenetics based on genome- scale data)

**Could genome-scale data easily
resolve phylogenetic problems?**

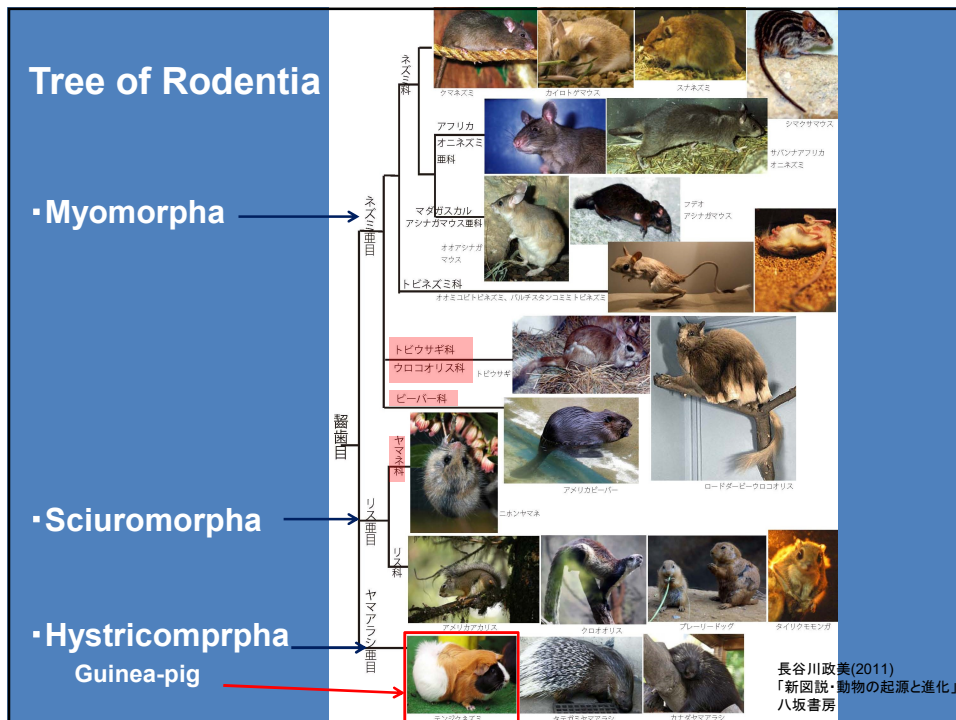
→ Not necessarily!

Phylogenomics

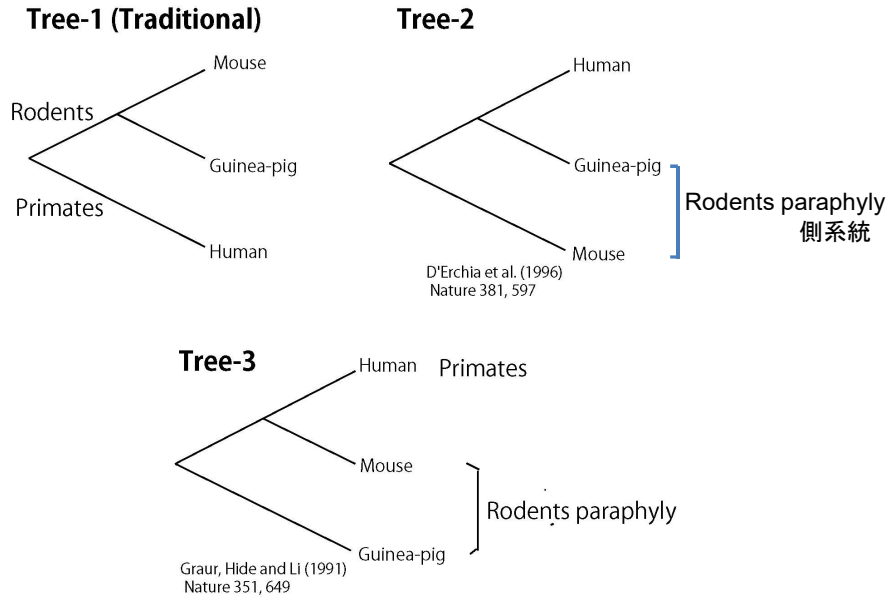
- **The longer the sequences, the smaller the sampling error becomes.
→ Apparently strong conclusion can be obtained.**
- **However, if the estimation is biased, an erroneous tree can be supported with a high confidence. → Bias of tree estimation caused by a model misspecification is an important problem in phylogenetics.**

Systematic errors in phylogenetic inference

- Long-branch-attraction (LBA)



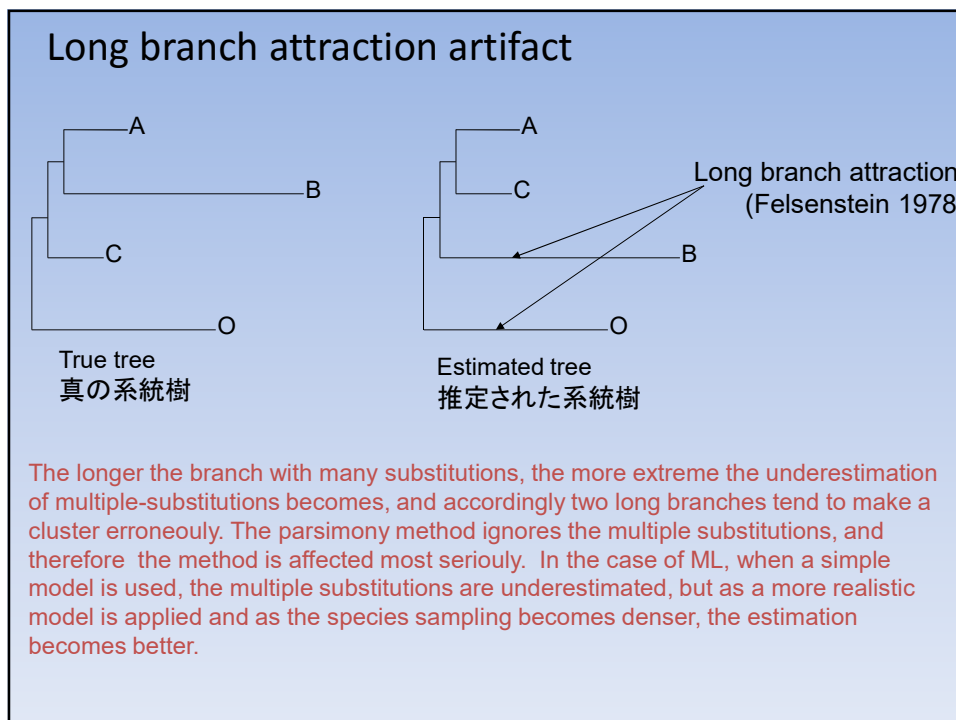
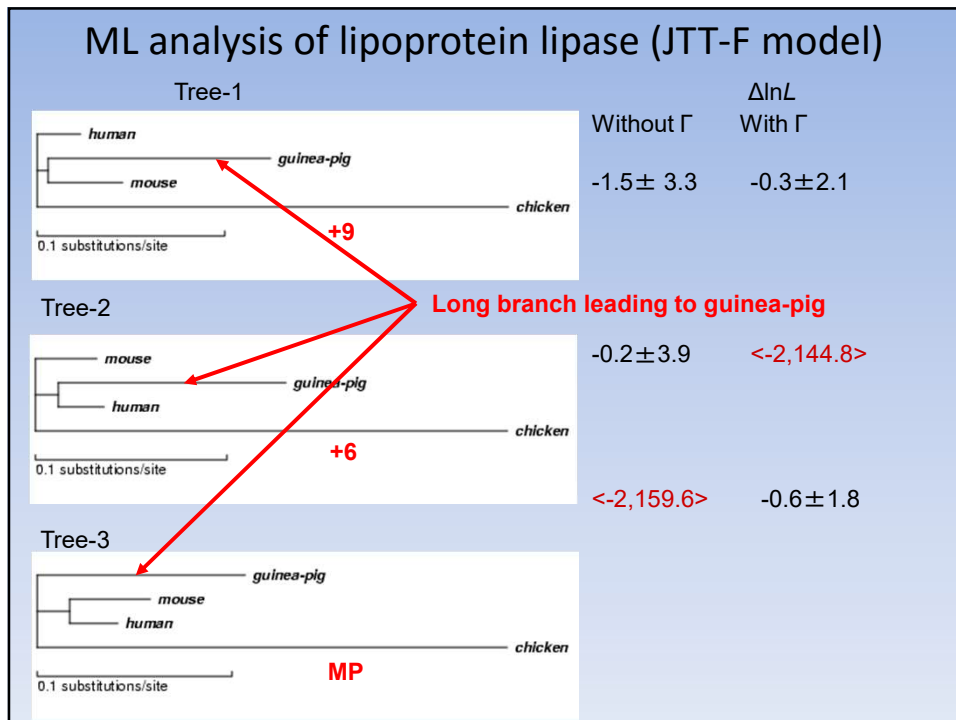
Rodents polyphyly? (齧齒類は多系統か?)

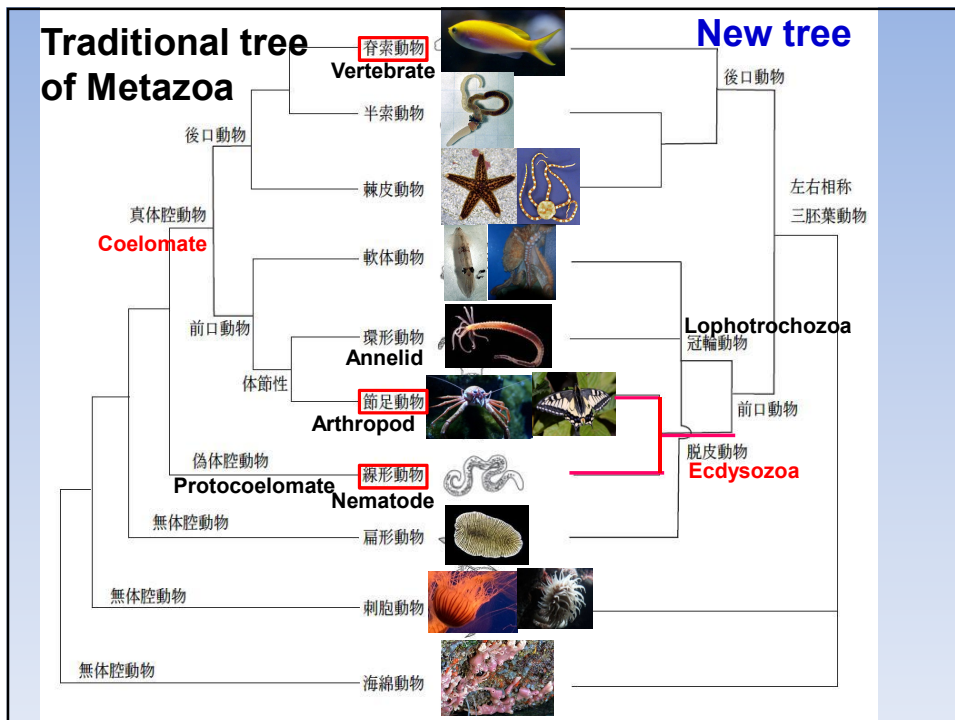
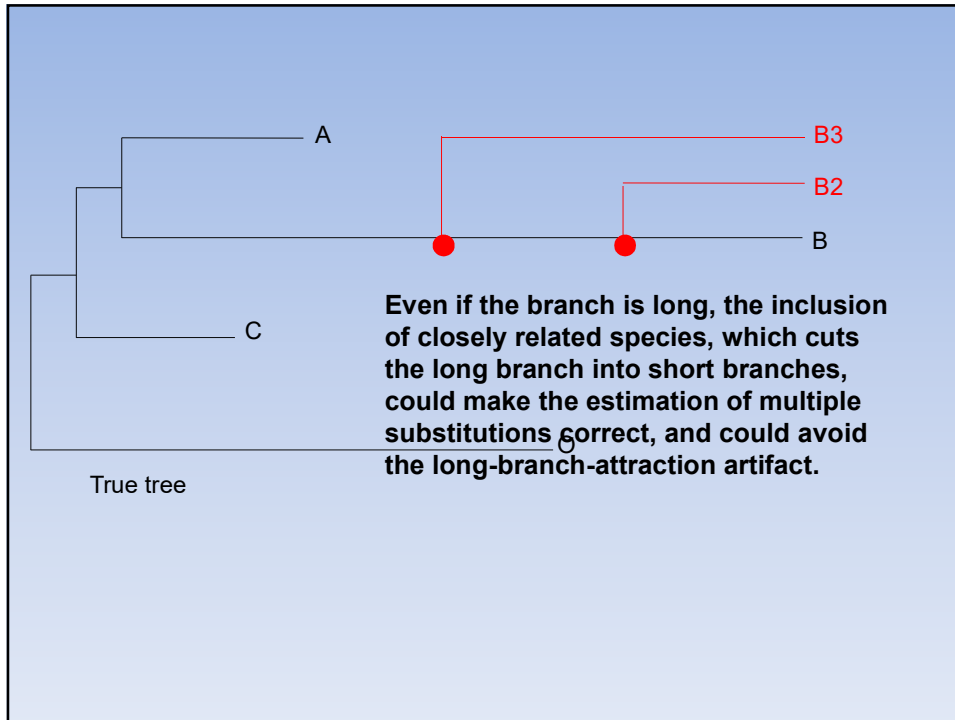


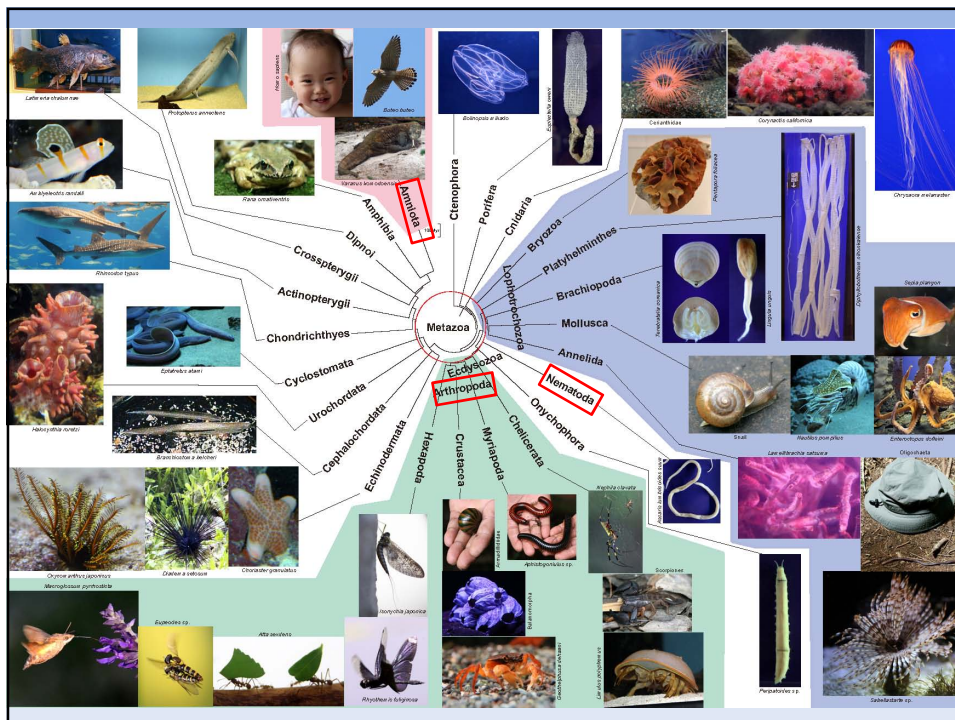
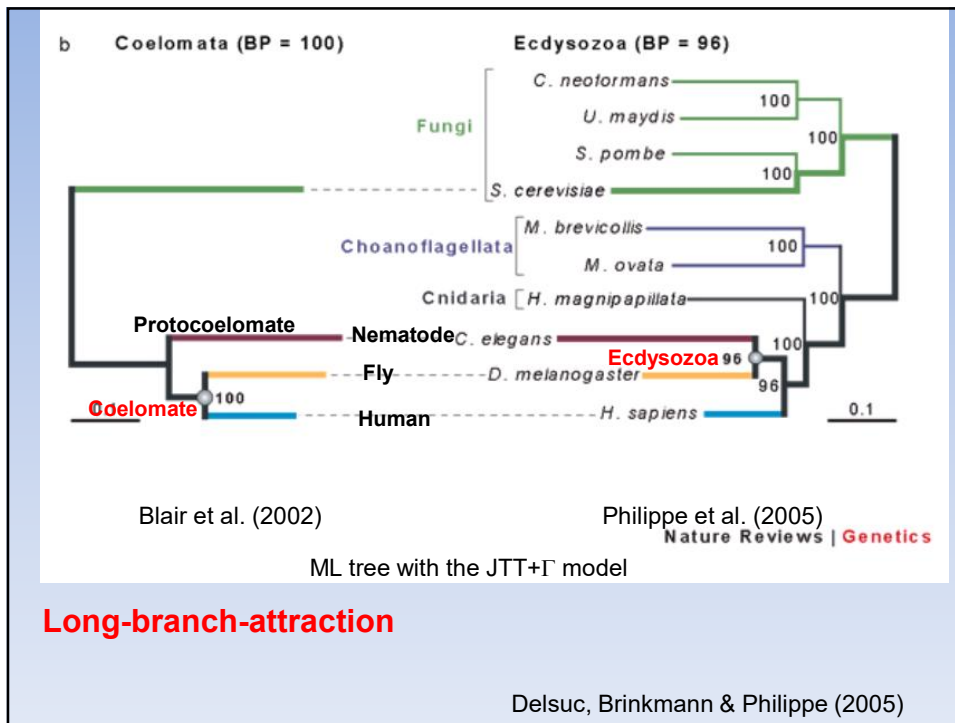
Parsimony (節約法) Maximum-likelihood (最尤法)

	Tree-1	Tree-2	Tree-3	Tree-1	Tree-2	Tree-3
Crystalli	MP	2	3	ML	-0.4	-5.6
Lactalbu	5	MP	4	ML	-4.2	-5.5
Hb α	4	8	MP	-5.6	-10.5	ML
Hb β	1	5	MP	-0.5	-3.3	ML
NGF	1	5	MP	ML	-9.1	-2.2
Factor9	1	MP	3	-4.0	ML	-3.8
Ribonucl	3	MP	2	-3.5	ML	-3.0
Insulin	1	1	MP	-1.6	ML	-1.6
LipLipas	9	6	MP	-3.1	ML	-0.2
Lipocort	4	5	MP	-8.2	-10.5	ML
total	17	20	MP	-4.7 ± 16.0	-16.1 ± 14.0	ML
BP	0.0303	0.0108	0.9589	0.3608	0.0515	0.5877

Hasegawa et al. (1992) Nature 355, 595







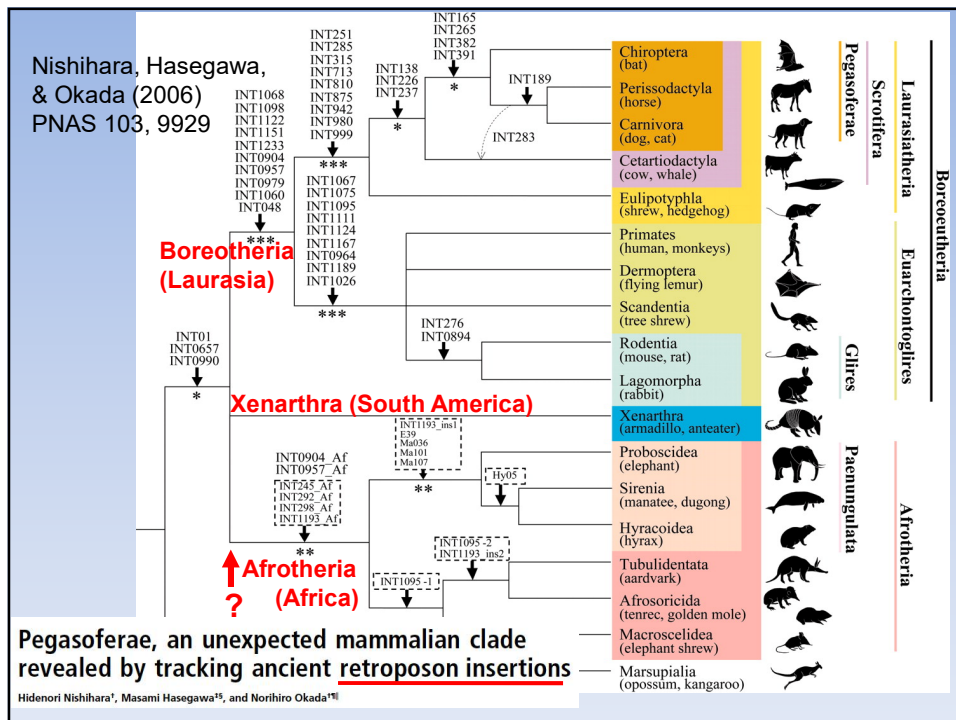
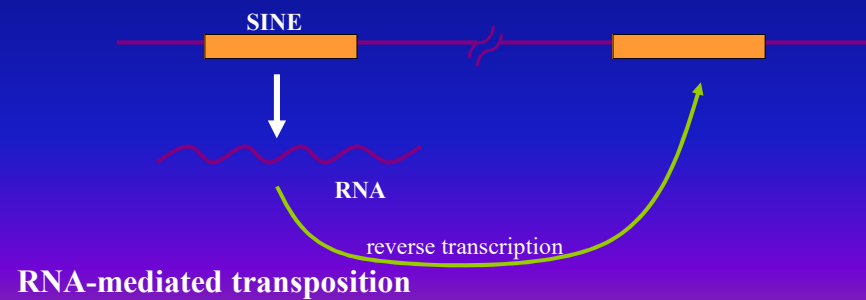
Systematic errors in phylogenetic inference

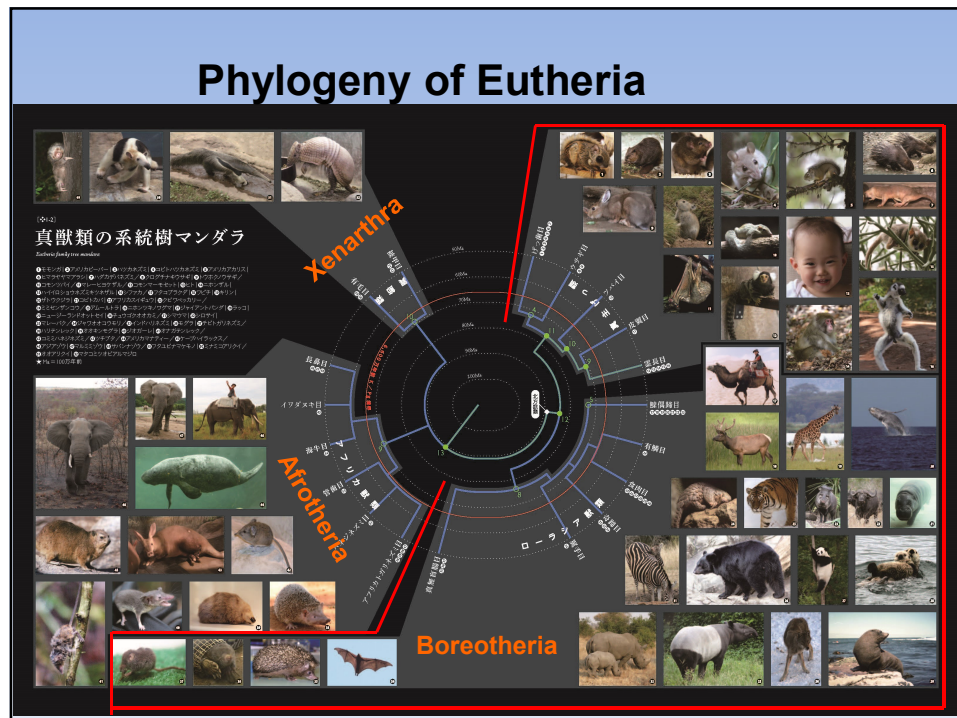
- Long-branch-attraction (LBA)
- Heterogeneous tempo & mode of evolution among different genes



Retroposon insertion method developed by Norihiro Okada in 1990s

Retroposons such as SINE and LINE are inserted randomly into a genome. If the same retroposon is found in the same locus in different species, then the insertion must have occurred in the common ancestor of the two species. (Independent insertion in the same locus must be very rare.)





Boreotheria
北方獣類

Afrotheria
非洲獣類

Xenarthra
南美洲獣類

Root? 2 3 1

Genome Biology 2007, 8:R199

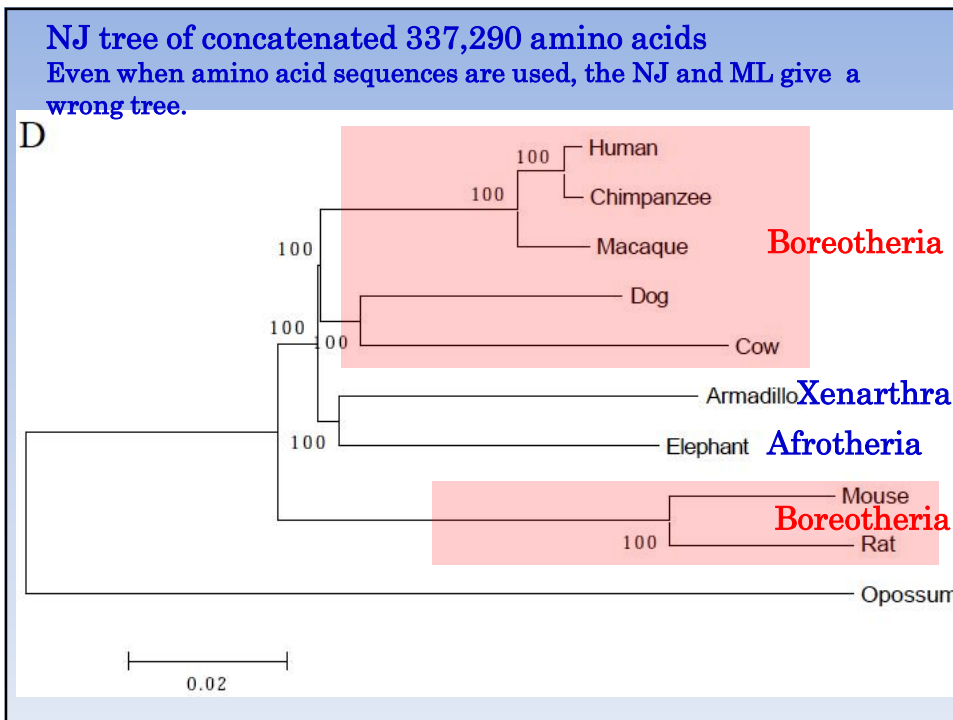
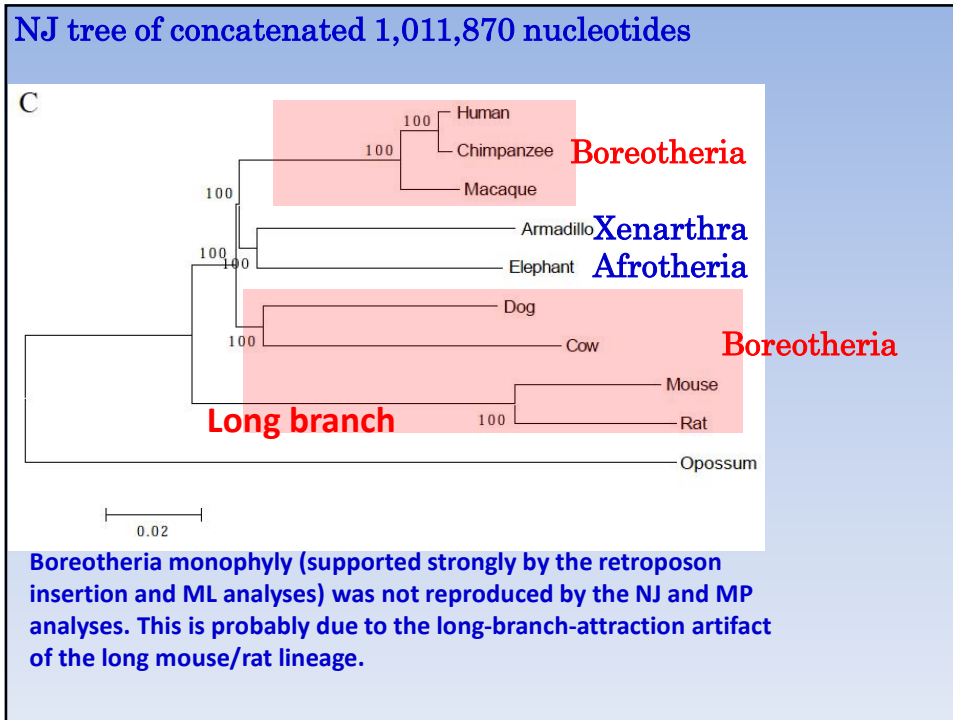
Rooting the eutherian tree: the power and pitfalls of phylogenomics
Hidenori Nishihara*[†], Norihiro Okada* and Masami Hasegawa^{†‡}

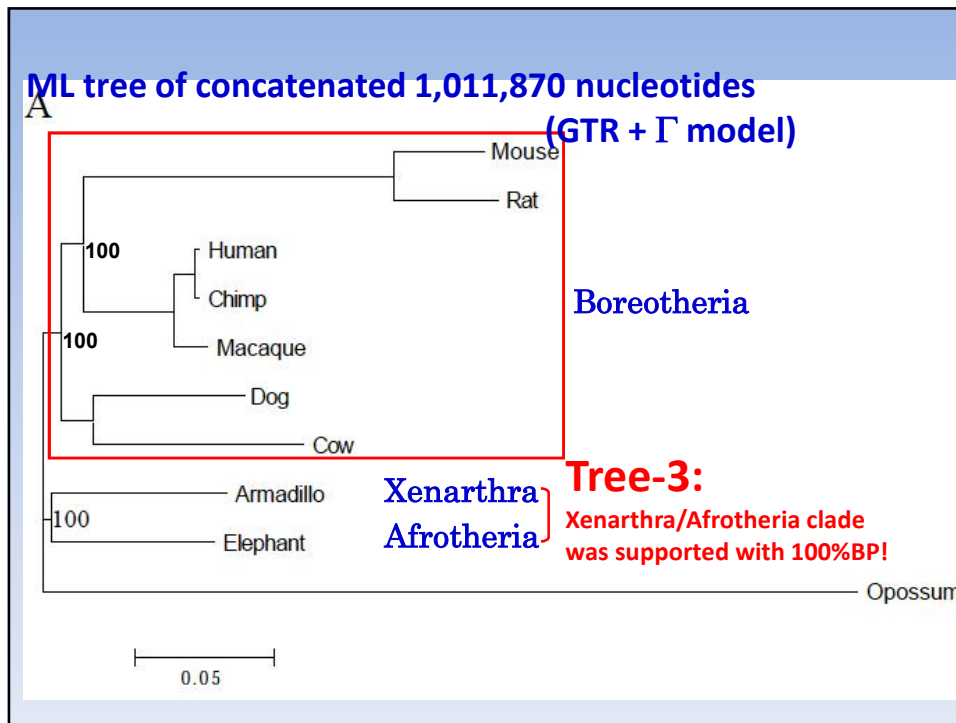
Addresses: *Graduate School of Bioscience and Biotechnology, Tokyo Institute of Technology, 4259-B-21 Nagatsuta-cho, Midori-ku, Yokohama 226-8501, Japan. [†]Department of Statistical Modeling, Institute of Statistical Mathematics, 4-6-7 Minami-Azabu, Minato-ku, Tokyo 106-8569, Japan. [‡]School of Life Sciences, Fudan University, Handan Road 220#, Shanghai 200433, China.



Collection of exon sequences from database

1. Extraction of all exon sequences >200 bp from the human genome database
 2. Removal of duplicated (paralog) sequences from the human data
 3. Search of the armadillo (Xenarthra) and elephant (Afrotheria) genomic data for homologs of the human exons
 4. Collection of the homologous exons from other mammalian genomic data
 5. Alignment of all the sequences and removal of ambiguous nucleotide sites
- 1,011,870 bp of 2,789 protein-encoding genes from 9 eutherian species and 1 marsupial (opossum)





(A) Concatenate analyses of nucleotide sequences

1) Equal rate among codon positions (GTR + Γ_8 model)

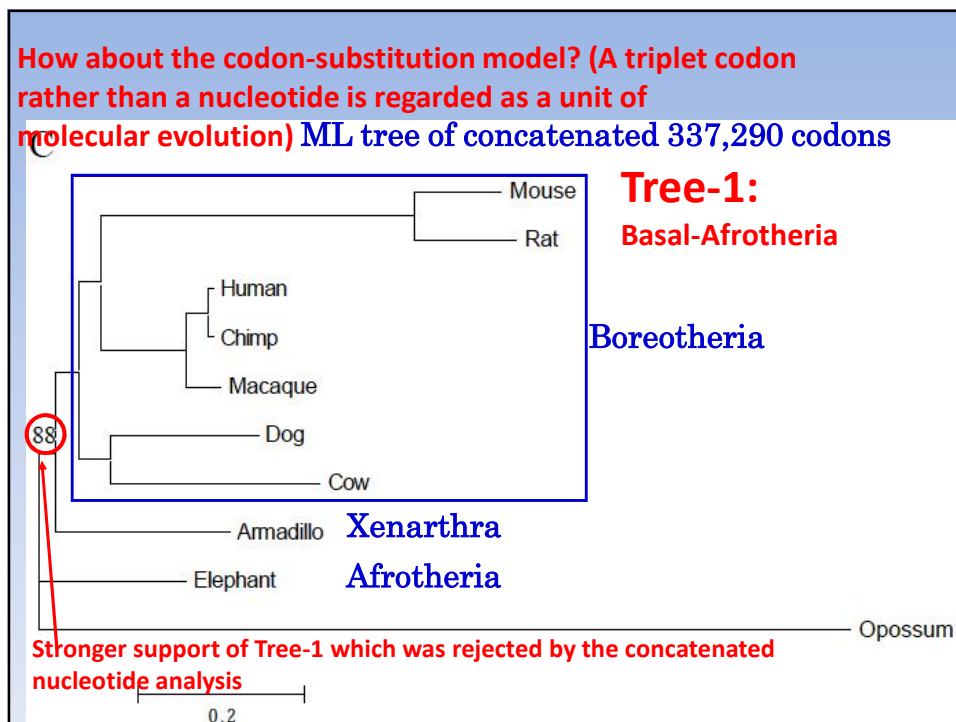
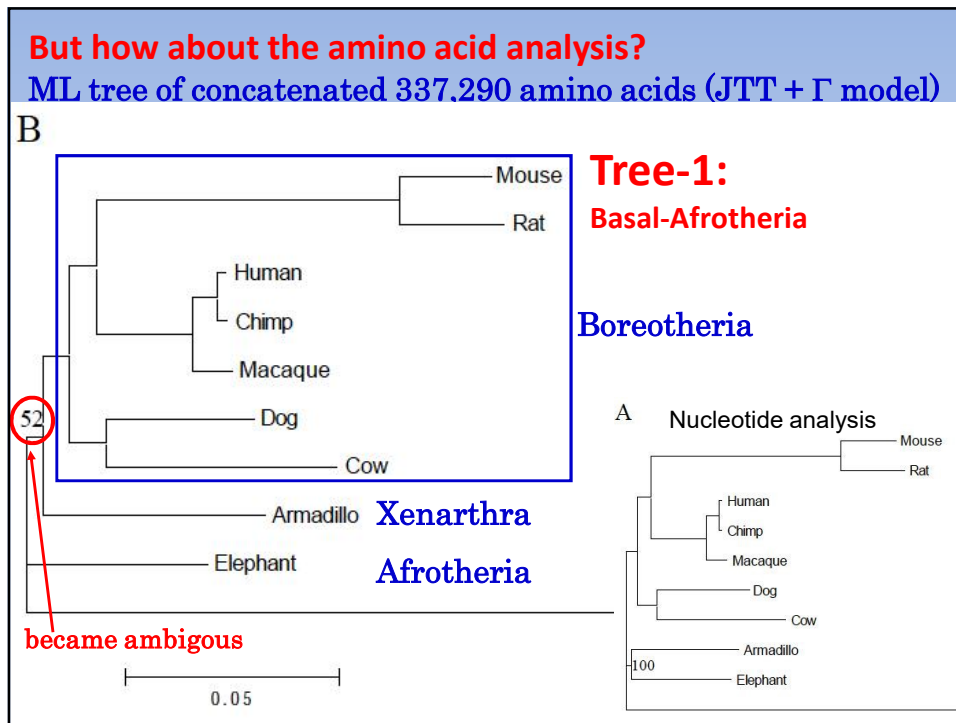
Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	-117.2 ± 31.1	0.000	0.000	0.0		
2	-147.3 ± 29.7	0.000	0.000	0.0		
3	$\langle -4,076,316.3 \rangle$			100.0	26	8,152,684.6

Strong support for Tree-3 holds even when partition among the 3 codon positions, which takes account of the rate difference among codon positions, are done as follows:

1b) Unequal rate among codon positions (GTR + Γ_8 model) ロドン座位間のrateの違い考慮

Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	-113.9 ± 33.6	0.000	0.000	0.0		
2	-131.3 ± 32.8	0.000	0.000	0.0		
3	$\langle -3,919,511.0 \rangle$			100.0	78	7,839,178.0

Tree	1 st	2 nd	3 rd	$\langle \ln L \rangle (\Delta \ln L \pm SE)$
1	-22.7 ± 16.6	-28.5 ± 15.1	-62.7 ± 25.1	-113.9 ± 33.6
2	-33.1 ± 15.6	-44.2 ± 13.6	-54.0 ± 25.4	-131.3 ± 32.8
3	$\langle -1,029,462.5 \rangle$	$\langle -850,474.9 \rangle$	$\langle -2,039,573.6 \rangle$	$\langle -3,919,511.0 \rangle$



Our large concatenated dataset is very sensitive to the assumed model in rooting the eutherian tree.

(A) Concatenate analyses of nucleotide sequences

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3	$\langle -4,076,316.3 \rangle$			100.0	26	8,152,684.6

2) Codon-substitution model (with Γ_4)

Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	$\langle -3,828,351.7 \rangle$			88.1	81	7,656,865.4
2	-77.8 \pm 64.5	0.112	0.185	11.3		
3	-142.7 \pm 65.0	0.014	0.026	0.6		

(C) Amino acid sequence analyses

1) Concatenate analysis (JTT-F + Γ_8 model)

Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	$\langle -1,905,933.9 \rangle$			51.6	37	3,811,941.8
2	-84.1 \pm 37.4	0.014	0.028	0.2		
3	-1.7 \pm 41.9	0.478	0.637	48.2		

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Partition among 3 codon positions: 100.0 78 7,839,178.0

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2	-77.8 \pm 64.5	0.112	0.185	11.3		
3	-142.7 \pm 65.0	0.014	0.026	0.6		

Akaike Information Criterion: $AIC = -2 \times \ln L + 2 \times (\# \text{parameters})$

The model with minimum AIC can be regarded as the best model

Although Tree-3 was strongly supported by some model, Tree-1 is preferred by the better model in terms of AIC.

Nucleotide sequence analyses (GTR + G)

(A) Concatenate analyses of nucleotide sequences

1) Equal rate among codon positions (GTR + Γ_8 model)

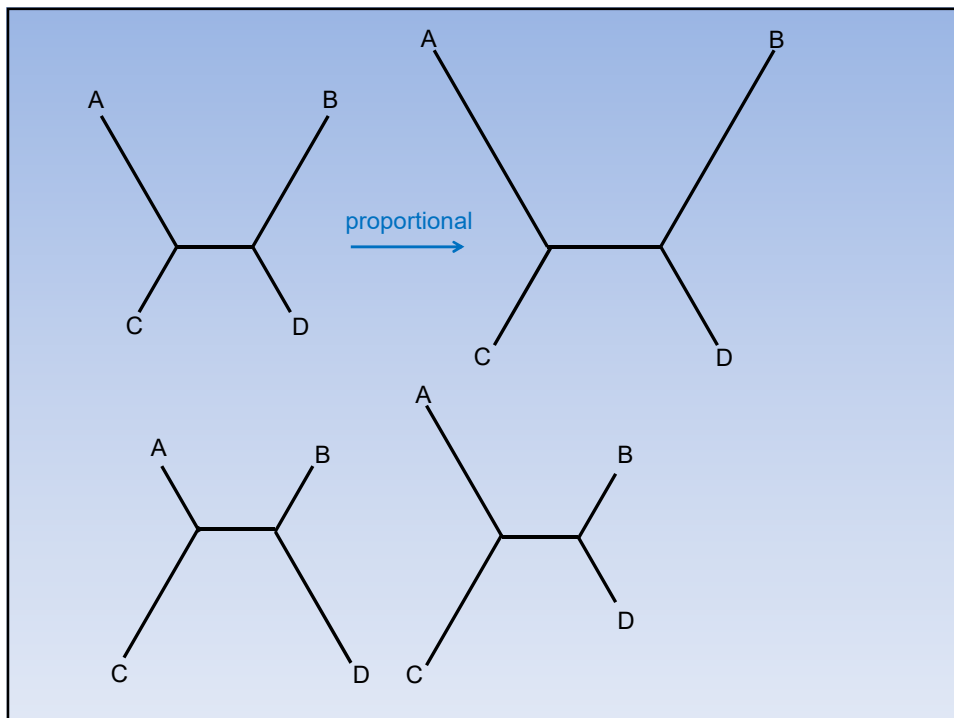
Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	-117.2 ± 31.1	0.000	0.000	0.0		
2	-147.3 ± 29.7	0.000	0.000	0.0		
3	$\langle -4,076,316.3 \rangle$			100.0	26	8,152,684.6

We next carried out ML analysis with the separate model, which takes account of the heterogeneity among different genes by assigning different parameters to different genes, then the support was changed to Tree-1, consistently with the amino acid & codon analyses.

(B) Separate analyses of nucleotide sequences among 2789 genes

1) Equal rate among codon positions (GTR + Γ_8 model)

Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	$\langle -3,963,489.9 \rangle$			86.2	72,514	8,072,007.8
2	-117.4 ± 72.3	0.050	0.092	4.1		
3	-91.4 ± 72.7	0.104	0.174	9.7		



Separate analyses of 2,789 genes

(B) Separate analyses of nucleotide sequences among 2789 genes

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2	-117.4 ± 72.3	0.050	0.092	4.1		
3	-91.4 ± 72.7	0.104	0.174	9.7		

2) Codon-substitution model (with Γ_4)

Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	$\langle -3,621,322.1 \rangle$			89.6	225,909	7,694,462.2
2	-128.0 ± 103.2	0.107	0.164	10.4		
3	-527.9 ± 96.3	0.000	0.000	0.0		

2) Separate analysis among 2789 genes (JTT-F + Γ_8 model)

Tree	$\langle \ln L \rangle (\Delta \ln L \pm SE)$	KH	wSH	BP (%)	#p	AIC
1	$\langle -1,799,245.4 \rangle$			93.4	103,193	3,804,876.8
2	-134.9 ± 88.5	0.064	0.112	6.6		
3	-317.6 ± 85.5	0	0.000	0.0		

Tree-1 is robustly supported irrespective of the model if the difference among genes is taken into account by the separate analysis.

(C) Amino acid sequence analyses

1) Concatenate analysis (JTT-F + Γ_8 model)

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Akaike Information Criterion: $AIC = -2 \ln L + 2 \times (\# \text{parameters})$

The model with minimum AIC can be regarded as the best model.

Tree-1 is preferred by both concatenate and separate analyses of amino acid sequences, but the better model in terms of AIC, that is the separate analysis, gives higher resolution.

Conclusion

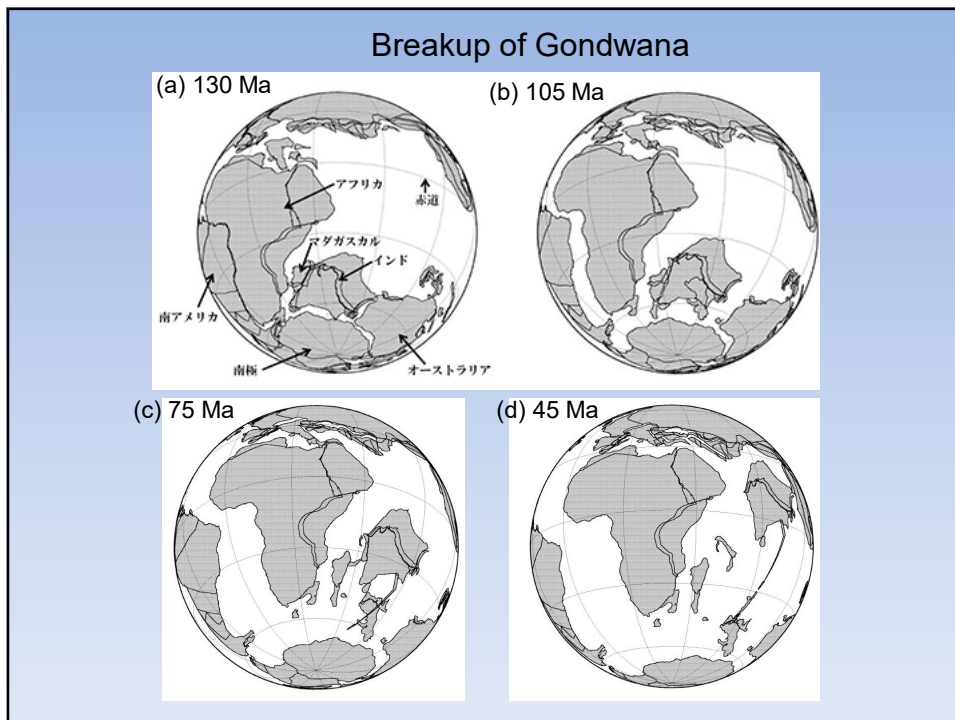
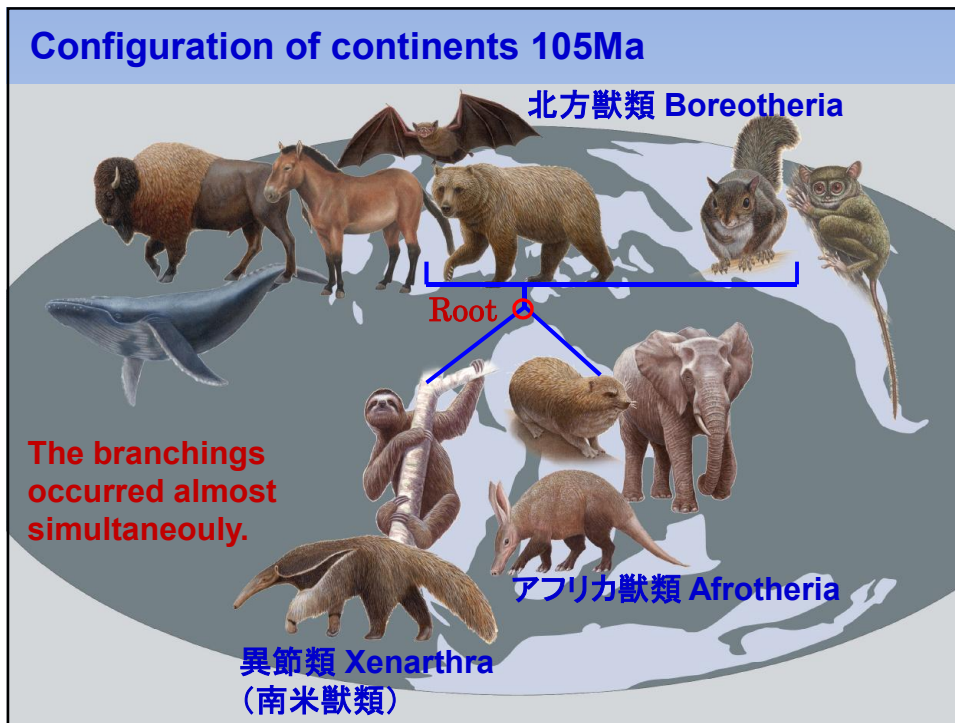
- The strong support of Tree-3 by the concatenated analysis of nucleotide sequences is probably an artifact due to neglect of heterogeneity of tempo & mode among different genes.
- This may often be a problem in phylogenetic analyses of genome-scale data.
- Tree-1 is the most likely tree from the 1Mbp data, but the best available model cannot exclude an alternative tree, particularly Tree-2. Therefore, the rooting problem of eutherian mammals still remains unresolved even with the genome-scale analysis.
- Probably, two branchings among the three major groups of eutherian mammals occurred successively in a short time interval.

Table 2. Informative L1MB loci supporting trees 1–3

Tree 1 22 loci			Tree 2 25 loci			Tree 3 21 loci		
Locus	L1 subfamily	TSD, nt	Locus	L1 subfamily	TSD, nt	Locus	L1 subfamily	TSD, nt
HDL1007	L1MB5	-	HDL2003	L1MB4	4	HDL3016 [†]	L1MB5	19
HDL1040	L1MB5	-	HDL2090	L1MB5	10	HDL3051	L1MB7	7
HDL1061	L1MB5	-	HDL2102	L1MB4	13	HDL3074	L1MB7	-
HDL1081	L1MB2	8	HDL2121	L1MB5	6	HDL3078	L1MB5	15
HDL1119	L1MB8	7	HDL2203	L1MB5	4	HDL3089	L1MB8	13
HDL1122	L1MB5	7	HDL2223	L1MB8	9	HDL3101	L1MB5	13
HDL1125	L1MB7	12	HDL2237	L1MB5	14	HDL3133	L1MB7	-
HDL1136	L1MB2	15	HDL2242	L1MB5	11	HDL3138	L1MB5	5
HDL1141	L1MB7	-	HDL2279	L1MB8	15	HDL3146	L1MB5	10
HDL1144	L1MB8	18	HDL2307	L1MB5	-	HDL3161	L1MB5	6
HDL1171	L1MB5	5	HDL2309	L1MB5	10	HDL3214	L1MB5	-
HDL1200	L1MB5	9	HDL2333	L1MB5	16	HDL3225	L1MB8	14
HDL1208	L1MB5	14	HDL2340	L1MB5	10	HDL3266 [†]	L1MB5	15
HDL1233	L1MB7	-	HDL2345	L1MB7	-	HDL3283	L1MB5	8
HDL1256	L1MB4	14	HDL2368	L1MB5	15	HDL3295	L1MB5	6
HDL1262	L1MB4	14	HDL2370	L1MB4	8	HDL3314	L1MB5	-
HDL1276	L1MB5	16	HDL2380	L1MB5	9	HDL3324	L1MB4	-
HDL1287	L1MB8	11	HDL2387	L1MB5	13	HDL3347	L1MB4	7
HDL1337	L1MB5	7	HDL2433*	L1MB5	6	HDL3355	L1MB8	6
HDL1360	L1MB5	-	HDL2443	L1MB5	15	HDL3366	L1MB5	7
HDL1372	L1MB5	8	HDL2446	L1MB7	10	HDL3369	L1MB5	10
HDL1373	L1MB5	14	HDL2457	L1MB4	8			
			HDL2483	L1MB8	6			
			HDL2499*	L1MB5	-			
			HDL2548	L1MB8	-			

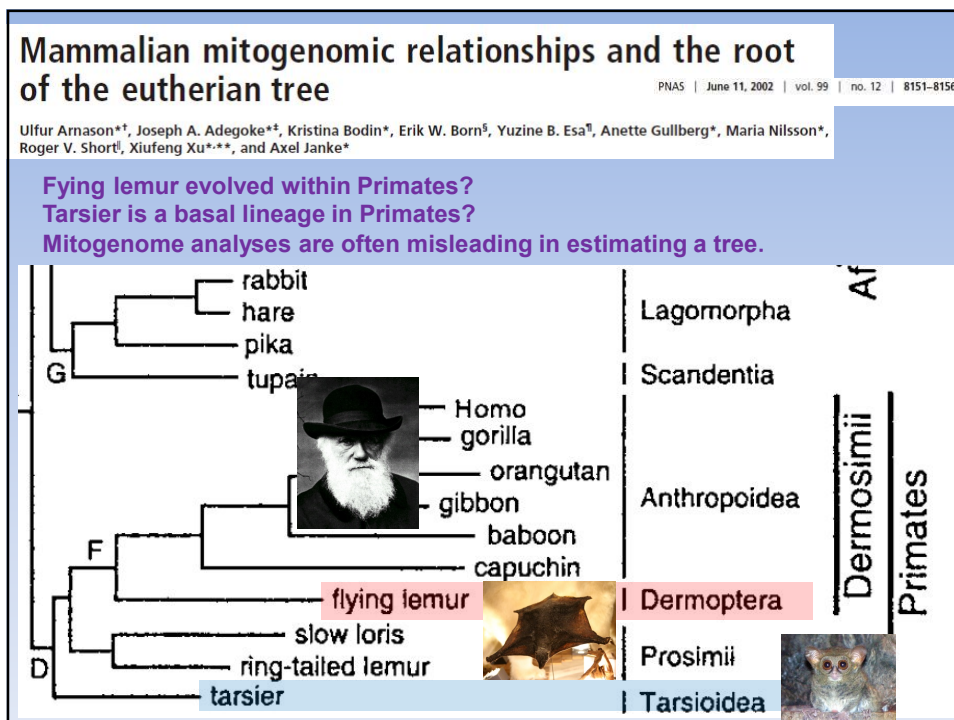
TSD, number of nucleotides in TSD. Alignments of all the loci are shown in Fig. S2.
^{*}Two L1 loci reported by Kriegs et al. (5).
[†]Two L1 loci reported by Murphy et al. (17).

Retroposon analysis and recent geological data suggest near-simultaneous divergence of the three superorders of mammals (2009) PNAS
 Hidenori Nishihara^a, Shigenori Maruyama^b, and Norihiro Okada^{a*1}



Longer sequences or more taxa?

- The model misspecification gives a serious effect when taxon sampling is sparse.
- On the other hand, dense taxon sampling could relieve biased estimation by model misspecification.



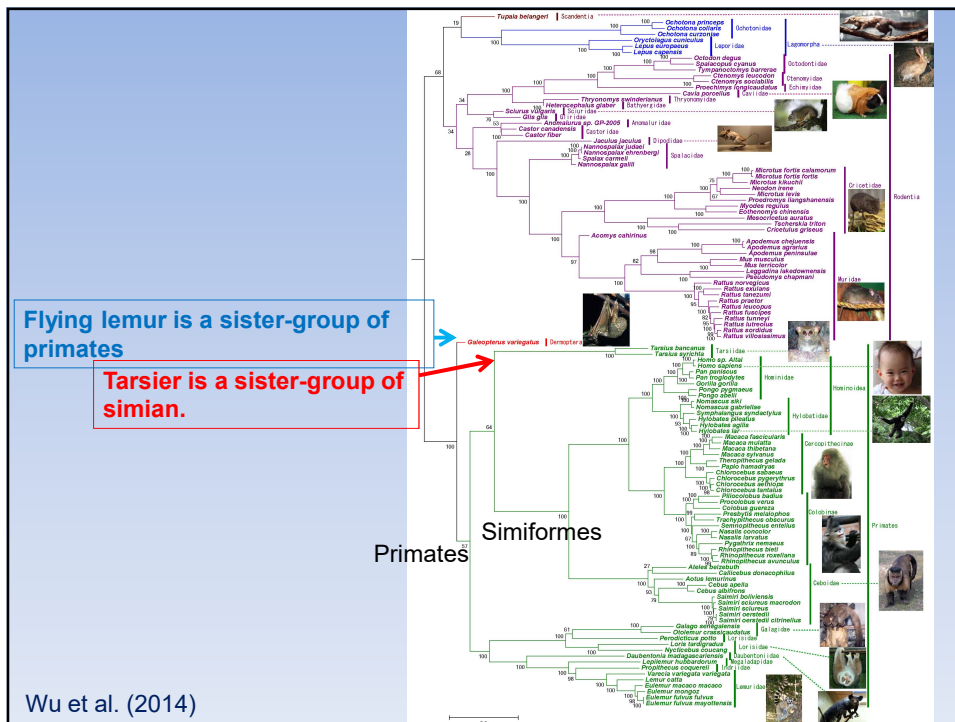
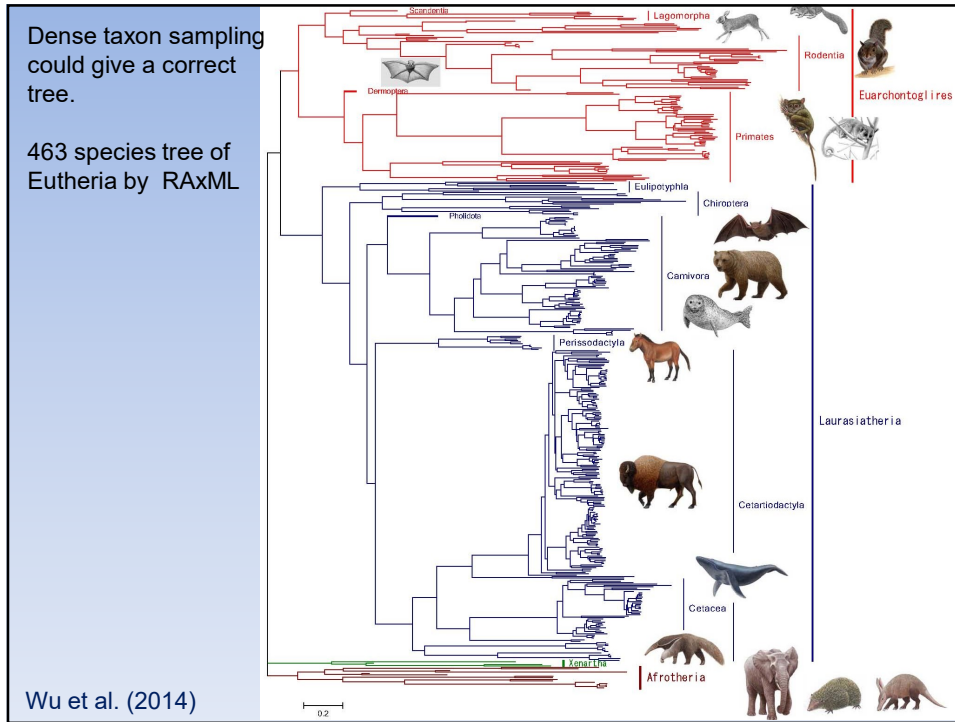
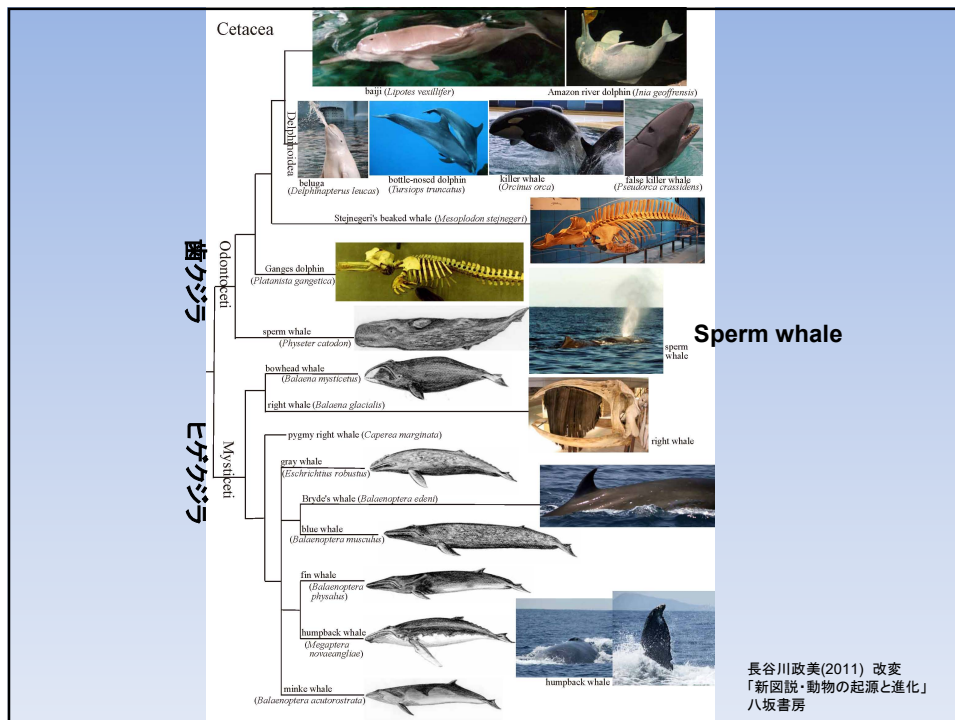
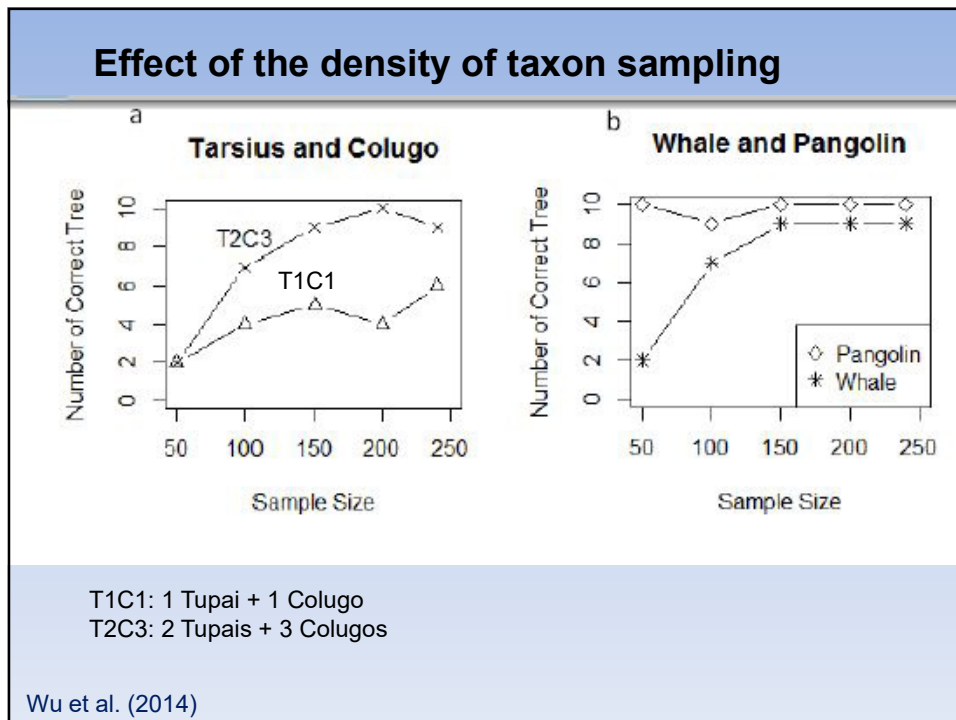
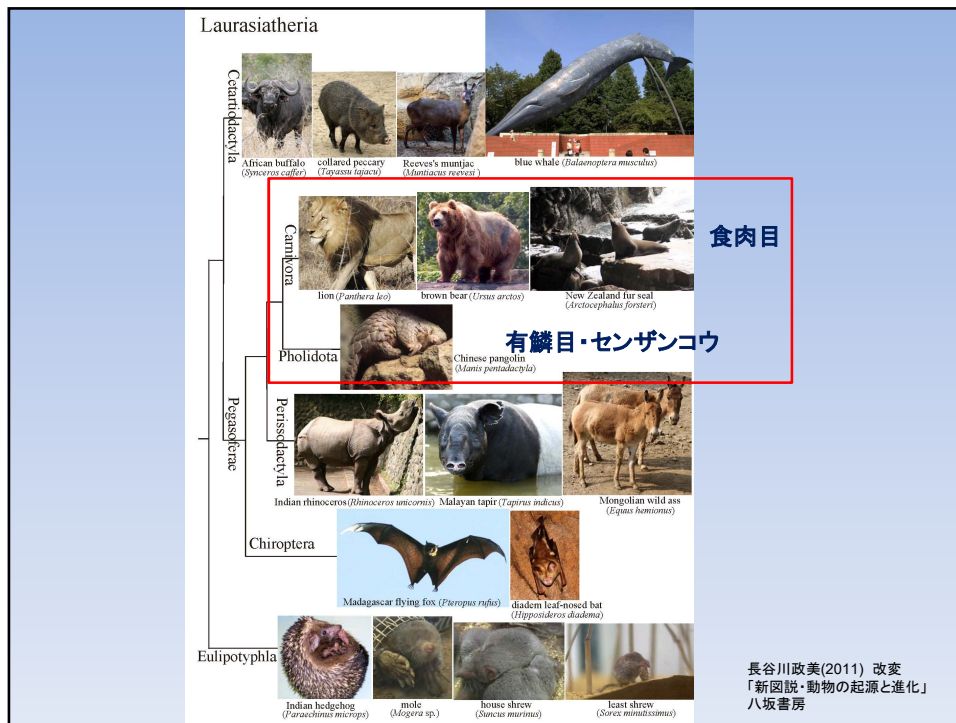


Table 1: Phylogenetic positions estimated by various methods and models

	tarsier Tarsiiformes	colugo Dermoptera	pangolin Pholidota	sperm whale Physeteridae
ML_CodonPartition	*Sister to Simiiformes (64)	*Sister to Primates (57)	*Sister to Carnivora (79)	*Basal Odontoceti (64)
ML_NoPartition	*Sister to Simiiformes (59)	Sister to Haplorhini (47)	*Sister to Carnivora (66)	*Basal Odontoceti (62)
ML_3	*Sister to Simiiformes (88)	*Sister to Primates (50)	Sister to Cetartiodactyla (37)	*Basal Odontoceti (80)
ML_12	Sister to Dermoptera + Simiiformes (40)	Sister to Simiiformes (48)	*Sister to Carnivora (80)	*Basal Odontoceti (34)
ML_aa	Sister to Dermoptera + Simiiformes (65)	Sister to Simiiformes (94)	*Sister to Carnivora (81)	Sister to <i>Platanista</i> + Ziphiidae + Mysticeti (41)
MP	Sister to Dermoptera + Simiiformes (34)	Sister to Simiiformes (56)	Sister to Tylopoda (48)	Sister to <i>Platanista</i> + Ziphiidae+Mysticeti (67)
NJ_MCL	Basal Primates (89)	Sister to Simiiformes (90)	Basal Laurasiatheria (86)	Sister to <i>Platanista</i> + Ziphiidae+Mysticeti (100)
NJ_TN	Sister to Sciuromorpha in Rodentia	Sister to Simiiformes (97)	Basal Laurasiatheria next to	Sister to <i>Platanista</i> + Ziphiidae+Mysticeti (99)

Wu et al. (2014)





Episodic Evolution and Adaptation of Chloroplast Genomes in Ancestral Grasses

Bojian Zhong, Takahiro Yonezawa, Yang Zhong, Masami Hasegawa*

School of Life Sciences, Fudan University, Shanghai, China

- Poaceae (イネ科) consists of about 10,000 species, and is one of the most flourishing group in Angiosperm. This group includes the most important crops such as rice, wheat and maize.
- It has been known since the early study (Gaut et al., *J. Mol. Evol.* **35**, 292(1992)) that evolutionary rate of mtDNA of Poaceae is higher than that of palms(ヤシ) which also belong monocots. This was attributed to the difference of the generation time, but the details have been unknown.

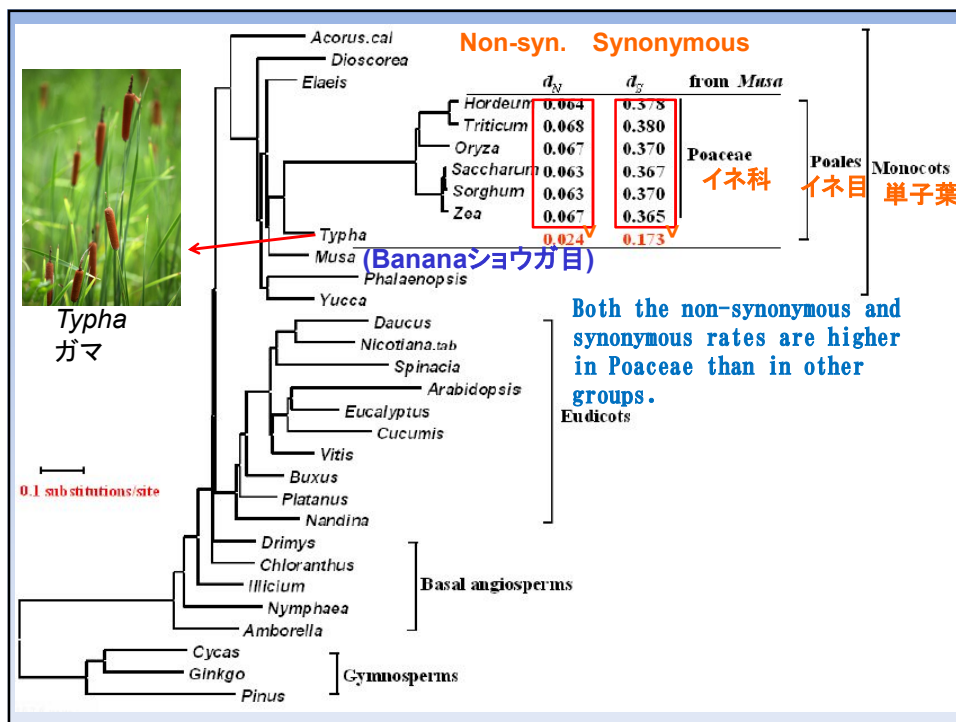
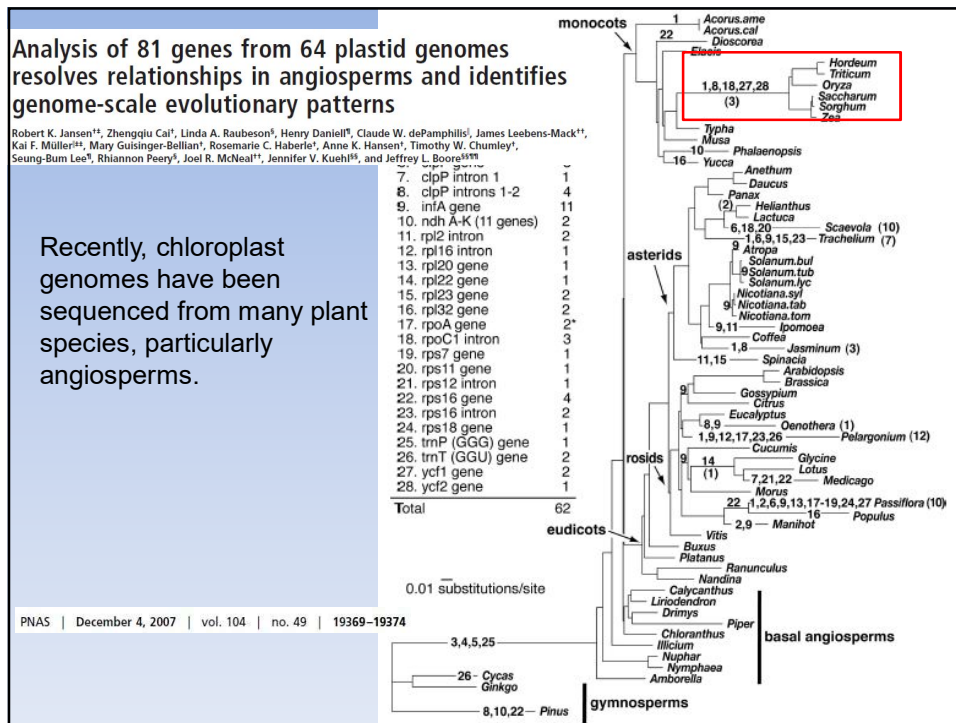
Relative Rates of Nucleotide Substitution at the *rbcL* Locus of Monocotyledonous Plants

Brandon S. Gaut,¹ Spencer V. Muse,² W. Dennis Clark,³ and Michael T. Clegg¹

J Mol Evol (1992) 35:292–303

Summary. We subjected 35 *rbcL* nucleotide sequences from monocotyledonous taxa to maximum likelihood relative rate tests and estimated relative differences in rates of nucleotide substitution between groups of sequences without relying on knowledge of divergence times between taxa. Rate tests revealed that there is a hierarchy of substitution rate at the *rbcL* locus within the monocots. Among the taxa analyzed the grasses have the most rapid substitution rate; they are followed in rate by the Orchidales, the Liliales, the Bromeliales, and the Arecales. The overall substitution rate for the *rbcL* locus of grasses is over 5 times the substitution rate in the *rbcL* of the palms. The substitution rate at the third codon positions in the *rbcL* of the grasses is over 8 times the third position rate in the palms. The pattern of rate variation is consistent with the generation-time-effect hypothesis. Heterogenous rates of substitution have important implications for phylogenetic reconstruction.

Grasses (イネ科:一年生)の葉緑体遺伝子はPalms (ヤシ:多年生)の遺伝子よりも進化速度が速い。世代時間が関係している？



ω = Non-synonymous/Synonymous rate

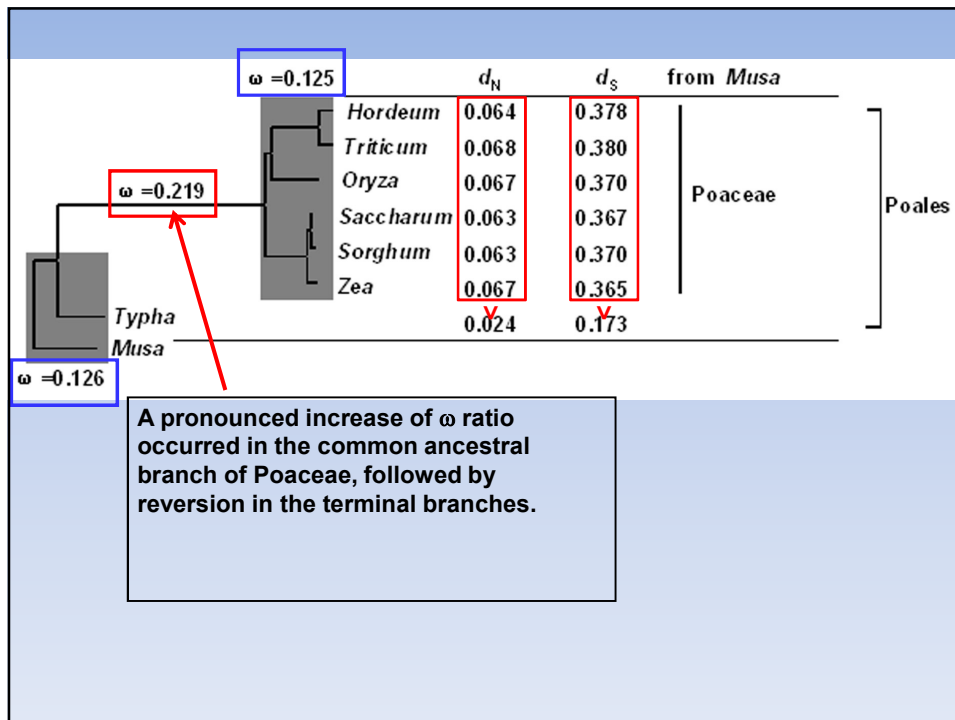
- Since synonymous substitutions do not experience severe constraints, the rate must be higher than that of non-synonymous substitutions which are under functional constraints when the neutral theory holds.
→ $\omega < 1$
- When positive selection is operating (adaptive evolution), $\omega > 1$ could hold.

$d_S (K_S)$: number of synonymous substitutions per synonymous site

$d_N (K_A)$: number of nonsynonymous substitutions per nonsynonymous site

$\omega = d_N / d_S$: nonsynonymous/synonymous rate ratio

The ω ratio is widely used in detecting adaptive evolution. Is the rate acceleration of grasses correlated with the ω ratio change?



High ω -ratio can be interpreted in two different ways

- Relaxation of constraints
- Possibility of positive selection (adaptive evolution)

- $\omega = 1$: neutral evolution ($s = 0$)
- $\omega < 1$: negative (purifying) selection ($s < 0$)
- $\omega > 1$: positive (diversifying) selection ($s > 0$)

$\omega > 1$ is usually regarded as an evidence of positive selection, but since the analysis averages over the entire genomes, we would not get such a high value even if positive selection is operating in some parts of some proteins. Therefore, we apply **the branch-site model** to detect these parts.

Codon substitution models

- **Branch models** to test positive selection on lineages on the tree
(Yang 1998. *Mol. Biol. Evol.* 15:568-573)
- **Site models** to test positive selection affecting individual sites
(Nielsen & Yang. 1998. *Genetics* 148:929-936; Yang, *et al.* 2000. *Genetics* 155:431-449)
- **Branch-site models** to detect positive selection at a few sites on a particular lineage
(Yang & Nielsen. 2002. *Mol. Biol. Evol.* 19:908-917; Yang, *et al.* 2005. *Mol. Biol. Evol.* 22:1107-1118)

Branch-site test of positive selection in the common ancestral grass branch

gene	LRT	Positively selected sites (Candidates)
<i>atpE</i>	0.0484	2T→K, 17S→C, 41A→N, 64M→W, 132V→W
<i>cemA</i>	0.0021	55N→R, 76Y→K, 161W→F, 190I→F, 204I→C
<i>clpP</i>	0.0081	26R→V, 48V→T, 86F→T, 112I→P, 134E→R, 182T→D
<i>rpoB</i>	0.0352	90R→F, 338G→K, 1026G→N
<i>rps11</i>	0.0082	54V→P, 62A→S, 82A→R, 105L→S, 115R→A, 120L→R

This list should be regarded as merely candidates of positively selected sites, and additional evidence should be given with the structural biological or experimental analyses in order to be identified as positively selected sites.